



**Notification of Tax Credit Transfer**

This serves as notification to the Kansas Department of Commerce of a transfer of a Kansas Angel Investor Tax Credit Certificate from the original owner to a qualified angel investor (transferee). A copy of this notification will be provided by Commerce to the Kansas Department of Revenue.

**The transfer of credit will not be complete until the original issued certificate and completed notification of transfer form is received and approved by Commerce.**

*Please forward the original certificate and Notification of Tax Credit Transfer form to:*

**Kansas Department of Commerce**  
1000 S.W. Jackson St, Suite 100  
Topeka, KS 66612-1354  
Phone: (785) 296-6007

**Transferor Declaration (Original Owner):**

I hereby certify that I have not owed any Kansas income tax under the provisions of article 32, chapter 79 of the Kansas Statutes Annotated for the immediate past three taxable years (based on date of original certificate) and do not currently believe that I will owe any such tax for the current taxable year. I understand that a physical transfer of the Angel Investor Tax Credit Certificate must be made in order for this transfer to be final.

Name: \_\_\_\_\_ Date of Original Certificate: \_\_\_\_\_  
Amount: \$ \_\_\_\_\_ Company who received credit related Investment: \_\_\_\_\_

\_\_\_\_\_  
**Signature of Transferor**

\_\_\_\_\_  
**Date**

**Transferee Declaration (New Owner):**

**The following information on the transferee must be completed:**

Transferee must be a natural person of high net worth as defined in 17 C.F.R. 230.501(a) and must complete online registration at the [kansasangels.com](http://kansasangels.com) web site as a qualified investor.

Name: \_\_\_\_\_ Phone: (\_\_\_\_) \_\_\_\_\_ E-mail: \_\_\_\_\_  
Address: \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
Amount paid by transferee for tax credit: \$ \_\_\_\_\_

**I hereby certify that I understand the following:**

I cannot sell or transfer this credit.

I have not received or purchased angel tax credits that would cause me to exceed the Angel Tax Credit cap of \$50,000 for the above indicated company for the calendar year. (Relevant calendar year = the original credit's year of issue)

I have not received or purchased angel tax credits that would cause me to exceed the Angel Tax Credit cap of \$250,000 for the calendar year. (Relevant calendar year = the original credit's year of issue)

I understand that an amended Kansas income tax return may be necessary to claim the tax credit. A tax credit that has been transferred to me must be claimed in the tax year that the original investment was made. If that original investment date is beyond the three year statute of limitations, I understand that a refund cannot be given for any tax years closed by the statute of limitations.

\_\_\_\_\_  
**Signature of Transferee**

\_\_\_\_\_  
**Date**

The Kansas Angel Investor Tax Credit Program is an initiative developed under the Kansas Economic Growth Act. The program is designed to bring together Kansas-based accredited angel investors with Kansas-based, Kansas Department of Commerce-certified companies seeking seed and early stage investment. For complete information regarding this program please visit [www.kansasangels.com](http://www.kansasangels.com).

KANSAS DEPARTMENT OF COMMERCE RV JAN.2016

Form TC001